# A compositional account of contrastive topic in terms of non-cooperativity 

Matthijs Westera<br>Institute for Logic, Language and Computation University of Amsterdam

Questions in Discourse, Amsterdam, December 16 ${ }^{\text {th }} 2013$

## Goal of this talk

Main goal: a compositional account of (1):
(1) Who had what for lunch?
a. $[J o h n]_{C T}$ had [the beans] $]_{F}$.
b. $[J o h n]_{F}$ had [the beans] $]_{C T}$.

## Goal of this talk

Main goal: a compositional account of (1):
(1) Who had what for lunch?
a. $[J o h n]_{C T}$ had [the beans] ${ }_{F}$.
b. $[J o h n]_{F}$ had [the beans] ${ }_{C T}$.

- Focus: (meaning of) nuclear pitch accent in a falling phrase. ('congruence with QUD' ?)


## Goal of this talk

Main goal: a compositional account of (1):
(1) Who had what for lunch?
a. $[J o h n]_{C T}$ had [the beans] ${ }_{F}$.
b. $[J o h n]_{F}$ had [the beans] $]_{C T}$.

- Focus: (meaning of) nuclear pitch accent in a falling phrase. ('congruence with QUD' ?)
- Contrastive topic: [...] accent in a (falling-)rising phrase. ('existence of a strategy'?)


## Goal of this talk

Main goal: a compositional account of (1):
(1) Who had what for lunch?
a. $\left[\left[[J o h n]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$.
b. $[\text { John }]_{F}$ had [the beans $]_{C T}$.

- Focus: (meaning of) nuclear pitch accent in a falling phrase. ('congruence with QUD' ?)
- Contrastive topic: [...] accent in a (falling-)rising phrase. ('existence of a strategy'?)


## Goal of this talk

Main goal: a compositional account of (1):
(1) Who had what for lunch?
a. $\left[\left[[J o h n]_{*}\right]_{\nearrow}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\rangle}$.
b. $\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\nearrow}\right]_{\nearrow}$.

- Focus: (meaning of) nuclear pitch accent in a falling phrase. ('congruence with QUD' ?)
- Contrastive topic: [...] accent in a (falling-)rising phrase. ('existence of a strategy'?)


## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important

## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $\mathrm{H}^{*}$ vs. $\mathrm{L}^{*}$ : new vs. given

## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $\mathrm{H}^{*}$ vs. $\mathrm{L}^{*}$ : new vs. given
3. $H+L^{*}$ : hearer thinks new, but in fact given; $\mathrm{L}+\mathrm{H}^{*}$ : hearer thinks given, but in fact new.

## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $\mathrm{H}^{*}$ vs. $\mathrm{L}^{*}$ : new vs. given
3. $H+L^{*}$ : hearer thinks new, but in fact given;
$\mathrm{L}+\mathrm{H}^{*}$ : hearer thinks given, but in fact new.
4. $+\mathrm{H} / \mathrm{H} \%$ : open-endedness.

## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $\mathrm{H}^{*}$ vs. $\mathrm{L}^{*}$ : new vs. given
3. $\mathrm{H}+\mathrm{L}^{*}$ : hearer thinks new, but in fact given;
$\mathrm{L}+\mathrm{H}^{*}$ : hearer thinks given, but in fact new.
4. $+\mathrm{H} / \mathrm{H} \%$ : open-endedness.

- In the literature: CT $\approx \mathrm{L}^{*}+\mathrm{H}$, or $\mathrm{L}^{*} \mathrm{H} \%$ or $\mathrm{L}^{*}+\mathrm{H} \mathrm{H} \%$


## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $H^{*}$ vs. L*: new vs. given
3. $H+L^{*}$ : hearer thinks new, but in fact given;
$\mathrm{L}+\mathrm{H}^{*}$ : hearer thinks given, but in fact new.
4. $+\mathrm{H} / \mathrm{H} \%$ : open-endedness.

- In the literature: $\mathrm{CT} \approx \mathrm{L}^{*}+\mathrm{H}$, or $\mathrm{L}^{*} \mathrm{H} \%$ or $\mathrm{L}^{*}+\mathrm{H} \mathrm{H} \%$
- I assume * and $+\mathrm{H} / \mathrm{H} \%$ do the work relevant to us.


## Promising starting point

Pierrehumbert \& Hirschberg (1990)
As streamlined by Hobbs (1990):

1. *: (meaning of) morpheme is important
2. $H^{*}$ vs. L*: new vs. given
3. $\mathrm{H}+\mathrm{L}^{*}$ : hearer thinks new, but in fact given; $\mathrm{L}+\mathrm{H}^{*}$ : hearer thinks given, but in fact new.
4. $+\mathrm{H} / \mathrm{H} \%$ : open-endedness.

- In the literature: $\mathrm{CT} \approx \mathrm{L}^{*}+\mathrm{H}$, or $\mathrm{L}^{*} \mathrm{H} \%$ or $\mathrm{L}^{*}+\mathrm{H} \mathrm{H} \%$
- I assume * and $+\mathrm{H} / \mathrm{H} \%$ do the work relevant to us.

Main obstacle for a formal account
How should 'important' and 'open-ended' be formalized?

## Outline

1. The final rise

Open-endedness $=$ non-cooperativity
A compositional account
2. Generalizing to the internal rise

Local contexts
The compositional account
3. Some predictions

## Outline

1. The final rise

Open-endedness $=$ non-cooperativity
A compositional account
2. Generalizing to the internal rise

Local contexts
The compositional account
3. Some predictions

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\nearrow$.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\nearrow$.

$$
\leadsto \ldots \mathrm{M} \text { or } \mathrm{B} \text { too. }
$$

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto \ldots$ not sure about $M$ or $B$.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto \ldots$ not sure about $M$ or $B$.
$\leadsto$...but I'm not sure.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto$...not sure about M or B .
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\boldsymbol{\tau}^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto$...not sure about M or B .
John came $\boldsymbol{\nearrow}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came ${ }^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto$...not sure about $M$ or $B$.
John came $\boldsymbol{\nearrow}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\boldsymbol{\tau}^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto$...not sure about M or B .
(Quantity)
(Relation)

John came $\boldsymbol{\tau}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came ${ }^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too. (Quantity)
$\leadsto$...not sure about M or B .
John came $\boldsymbol{\lambda}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?
Proposal
(Westera, 2013a)

1. The final rise marks the violation of a maxim.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came ${ }^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too. (Quantity)
$\leadsto$...not sure about M or B .
John came $\boldsymbol{\nearrow}^{H}$.
$\leadsto$...but I'm not sure.
(Quality)
$\leadsto$...did I make myself clear?
Proposal
(Westera, 2013a)

1. The final rise marks the violation of a maxim.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came ${ }^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too. (Quantity)
$\leadsto$...not sure about M or B .
John came $\boldsymbol{\nearrow}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?
Proposal

1. The final rise marks the violation of a maxim.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came ${ }^{L}$.
$\leadsto \ldots M$ or $B$ too.
$\leadsto \ldots$ not sure about $M$ or $B$.
John came $\boldsymbol{r}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?
(Quantity)
(Relation)
(Quality)
(Manner)

Proposal

1. The final rise marks the violation of a maxim.
2. Its pitch conveys emotivity.
(e.g., Gussenhoven, 2004)

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\pi^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto \ldots$ not sure about M or B .
John came $\boldsymbol{\lambda}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?
(Quantity)
(Relation)
(Quality)
(Manner)

Proposal
(Westera, 2013a)

1. The final rise marks the violation of a maxim.
2. Its pitch conveys emotivity.
(e.g., Gussenhoven, 2004)
3. This reflects the severity of the violation:
${ }_{7}{ }^{H}$ : Quality/Manner; (cf. Ward \& Hirschberg, 1992)
$\nRightarrow$ L: Quantity/Relation.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\boldsymbol{T}^{L}$.
$\leadsto \ldots \mathrm{M}$ or B too.
$\leadsto \ldots$ not sure about M or B .
John came $\boldsymbol{\lambda}^{H}$.
$\leadsto$...but I'm not sure.
$\leadsto$...did I make myself clear?
(Quantity)
(Relation)
(Quality)
(Manner)

Proposal
(Westera, 2013a)

1. The final rise marks the violation of a maxim.
2. Its pitch conveys emotivity.
(e.g., Gussenhoven, 2004)
3. This reflects the severity of the violation:
${ }_{7}{ }^{H}$ : Quality/Manner; (cf. Ward \& Hirschberg, 1992)
$\nRightarrow$ L: Quantity/Relation.

This proposal is new in its generality, not in spirit.

### 1.1. The sentence-final rise

(2) Of John, Bill and Mary, who came to the party? John came $\boldsymbol{T}^{L}$.

```
~...M or B too.
~ ...not sure about M or B.
```

Proposal

1. The final rise marks the violation of a maxim.
2. Its pitch conveys emotivity.
(e.g., Gussenhoven, 2004)
3. This reflects the severity of the violation:
${ }_{7}{ }^{H}$ : Quality/Manner; (cf. Ward \& Hirschberg, 1992)
$\Rightarrow$ L: Quantity/Relation.

This proposal is new in its generality, not in spirit.

### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).


### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John


### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M.
$\leadsto$ attention to J, M


### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M.
$\leadsto$ attention to $\mathrm{J}, \mathrm{M}$
c. John was there, and maybe M too. $\sim$ attention to $\mathrm{J}, \mathrm{M}$


### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M.
$\leadsto$ attention to $\mathrm{J}, \mathrm{M}$
c. John was there, and maybe M too. $\sim$ attention to $\mathrm{J}, \mathrm{M}$

Let $\mathfrak{Q}$ be a set of possibilities, the commonly known QUD.

### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M.
$\leadsto$ attention to J, M
c. John was there, and maybe M too. $\sim$ attention to $\mathrm{J}, \mathrm{M}$

Let $\mathfrak{Q}$ be a set of possibilities, the commonly known QUD.
Maxim of Quantity
(cf. Van Rooij \& Schulz, 2005)
Establish all $\mathfrak{q} \in \mathfrak{Q}$ (or $\mathfrak{Q}^{\prime} \subseteq \mathfrak{Q}$ ) you know to be true.

### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M. $\sim$ attention to $\mathrm{J}, \mathrm{M}$
c. John was there, and maybe M too. $\leadsto$ attention to $\mathrm{J}, \mathrm{M}$

Let $\mathfrak{Q}$ be a set of possibilities, the commonly known QUD.
Maxim of Quantity
(cf. Van Rooij \& Schulz, 2005)
Establish all $\mathfrak{q} \in \mathfrak{Q}$ (or $\mathfrak{Q}^{\prime} \subseteq \mathfrak{Q}$ ) you know to be true.
Maxim of Relation
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state.

### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M. $\sim$ attention to $\mathrm{J}, \mathrm{M}$
c. John was there, and maybe M too. $\leadsto$ attention to $\mathrm{J}, \mathrm{M}$

Let $\mathfrak{Q}$ be a set of possibilities, the commonly known QUD.
Maxim of Quantity
(cf. Van Rooij \& Schulz, 2005)
Establish all $\mathfrak{q} \in \mathfrak{Q}$ (or $\mathfrak{Q}^{\prime} \subseteq \mathfrak{Q}$ ) you know to be true.
Maxim of Relation
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state. (e.g., if possible, say (3b,c) rather than (3a))

### 1.2. The Maxims of Quantity and Relation

I assume Roelofsen's (2011) attentive semantics:

- Sentences provide information; and
- draw attention to possibilities (sets of worlds).
(3) a. John was there.
$\leadsto$ attention only to John
b. John was there, or both J and M. $\sim$ attention to $\mathrm{J}, \mathrm{M}$
c. John was there, and maybe M too. $\leadsto$ attention to $\mathrm{J}, \mathrm{M}$

Let $\mathfrak{Q}$ be a set of possibilities, the commonly known QUD.
Maxim of Quantity
(cf. Van Rooij \& Schulz, 2005)
Establish all $\mathfrak{q} \in \mathfrak{Q}$ (or $\mathfrak{Q}^{\prime} \subseteq \mathfrak{Q}$ ) you know to be true.
Maxim of Relation
(cf. Westera, 2013b)
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state.
(e.g., if possible, say (3b,c) rather than (3a))

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came».
$\leadsto I$ don't know that also $B$ or $M$ did.
$\leadsto I$ know that $B$ and $M$ didn't

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto I$ know that also $B$ or $M$ did.
$\leadsto I$ know that $B$ and $M$ didn't
( $\stackrel{)}{ }$ Quantity) (Relation)

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto I$ know that also $B$ or $M$ did.
$\leadsto I$ don't know that $B$ and $M$ didn't

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto I$ don't know that also $B$ or $M$ did.
(Quantity)
$\leadsto I$ don't know that $B$ and $M$ didn't

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto I$ don't know that also $B$ or $M$ did.
(Quantity)
$\leadsto I$ don't know that $B$ and $M$ didn't
( $\stackrel{\text { R }}{ }$ Relation)
(And likewise for Manner, Quality...)

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.
$\leadsto I$ don't know that also $B$ or $M$ did.
$\leadsto I$ don't know that $B$ and $M$ didn't
(And likewise for Manner, Quality...)
One last ingredient:

- 'Indirect compliance': relative to the hearer's information


### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\pi$.
$\leadsto I$ don't know that also $B$ or $M$ did.
$\leadsto I$ don't know that $B$ and $M$ didn't
(Quantity)
( $\stackrel{\text { © Relation) }}{ }$
(And likewise for Manner, Quality...)
One last ingredient:

- 'Indirect compliance': relative to the hearer's information
(5) Was John at the party?

It was raining $\downarrow$
$\leadsto$ therefore he wasn't there

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\pi$.
$\leadsto I$ don't know that also $B$ or $M$ did.
$\leadsto I$ don't know that $B$ and $M$ didn't
(Quantity)
( $\stackrel{\text { © Relation) }}{ }$
(And likewise for Manner, Quality...)
One last ingredient:

- 'Indirect compliance': relative to the hearer's information
(5) Was John at the party?

It was raining $\nearrow$
$\leadsto$ perhaps therefore he wasn't...

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\nearrow$.

$$
\begin{aligned}
& \leadsto I \text { don't know that also } B \text { or } M \text { did. } \\
& \leadsto I \text { don't know that } B \text { and } M \text { didn't }
\end{aligned}
$$

(And likewise for Manner, Quality...)
One last ingredient:

- 'Indirect compliance': relative to the hearer's information
(5) Was John at the party?

It was raining $\nearrow$
$\leadsto$ perhaps therefore he wasn't...

From these basic assumptions, the resulting theory reproduces existing accounts for each reading in isolation.

### 1.3. Predictions

(4) Of John, Bill and Mary, who came to the party? John came $\pi$.
$\leadsto I$ don't know that also $B$ or $M$ did.
$\leadsto I$ don't know that $B$ and $M$ didn't
(And likewise for Manner, Quality...)
One last ingredient:

- 'Indirect compliance': relative to the hearer's information
(5) Was John at the party?

It was raining $\nearrow$
$\leadsto$ perhaps therefore he wasn't...

From these basic assumptions, the resulting theory reproduces existing accounts for each reading in isolation.
(see my AC/Semdial talk, Wednesday afternoon)

### 1.4. Composing non-at-issue content

I assume intonational meaning is non-at-issue content.

### 1.4. Composing non-at-issue content

I assume intonational meaning is non-at-issue content.
Compositional 3D semantics:
(Gutzmann, 2013)

1. Rheme (at-issue, asserted content).

### 1.4. Composing non-at-issue content

I assume intonational meaning is non-at-issue content.
Compositional 3D semantics: (Gutzmann, 2013)

1. Rheme (at-issue, asserted content).
2. Content active for composing non-at-issue content.

### 1.4. Composing non-at-issue content

I assume intonational meaning is non-at-issue content.
Compositional 3D semantics: (Gutzmann, 2013)

1. Rheme (at-issue, asserted content).
2. Content active for composing non-at-issue content.
3. Satisfied non-at-issue content.

### 1.5. Derivation: that damn John!

That damn John was at the party
Satisfied non-at-issue content:

### 1.5. Derivation: that damn John!

That damn John was at the party


### 1.5. Derivation: that damn John!

Satisfied non-at-issue content:

That damn John was at the party


### 1.5. Derivation: that damn John!

Satisfied non-at-issue content:

That damn John was at the party


### 1.5. Derivation: that damn John!

That damn John was at the party


### 1.5. Derivation: that damn John!

Satisfied non-at-issue content: ' dislike( $s, j$ )
That damn John was at the party


### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.


### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.
- The compositional semantics is 'attentivized' by:
- Replacing $\langle s, t\rangle$ by $\langle\langle s, t\rangle, t\rangle$; and


### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.
- The compositional semantics is 'attentivized' by:
- Replacing $\langle s, t\rangle$ by $\langle\langle s, t\rangle, t\rangle$; and
- Letting $\exists x, \vee, \wedge$, etc. abbreviate the set-theoretical objects that attentive semantics assigns to them.


### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.
- The compositional semantics is 'attentivized' by:
- Replacing $\langle s, t\rangle$ by $\langle\langle s, t\rangle, t\rangle$; and
- Letting $\exists x, \vee, \wedge$, etc. abbreviate the set-theoretical objects that attentive semantics assigns to them.

Finally, I assume:

### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.
- The compositional semantics is 'attentivized' by:
- Replacing $\langle s, t\rangle$ by $\langle\langle s, t\rangle, t\rangle$; and
- Letting $\exists x, \vee, \wedge$, etc. abbreviate the set-theoretical objects that attentive semantics assigns to them.

Finally, I assume:

- $\mathfrak{I}$ fetches an issue from the context (for now, $\mathfrak{Q}$ ).


### 1.6. Adding intonational meaning

First, an upgrade:

- For the Maxim of Relation, attentive semantics is needed.
- The compositional semantics is 'attentivized' by:
- Replacing $\langle s, t\rangle$ by $\langle\langle s, t\rangle, t\rangle$; and
- Letting $\exists x, \vee, \wedge$, etc. abbreviate the set-theoretical objects that attentive semantics assigns to them.

Finally, I assume:

- $\mathfrak{I}$ fetches an issue from the context (for now, $\mathfrak{Q}$ ).
- In the second dimension:
$\searrow:: \lambda p_{\text {stt }} \cdot()(\mathfrak{I}, p)$; and
$\nearrow:: \lambda p_{\text {stt }} \cdot()(\mathfrak{I}, p)$
1.7. Derivation: The final rise
[That damn John was at the party] ת

Satisfied non-at-issue content: dislike( $s, j$ )

1.7. Derivation: The final rise
[That damn John was at the party] ת

1.7. Derivation: The final rise
[That damn John was at the party] ת
Satisfied non-at-issue content: dislike( $s, j$ )

1.7. Derivation: The final rise
[That damn John was at the party] $\nearrow$

1.7. Derivation: The final rise
[That damn John was at the party] $\nearrow$

1.7. Derivation: The final rise
[That damn John was at the party] $\nearrow$


## Outline

1. The final rise

Open-endedness $=$ non-cooperativity A compositional account
2. Generalizing to the internal rise Local contexts
The compositional account
3. Some predictions

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : open-endedness.

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?


### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?


### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$

$$
\exists e \exists x \exists y . \operatorname{John}(x) \wedge \operatorname{invite}(e, x, y) \wedge \operatorname{Bob}(y)
$$

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$
$\exists e \exists x \exists y . \operatorname{John}(x) \wedge \operatorname{invite}(e, x, y) \wedge \operatorname{Bob}(y)$
©( $\mathfrak{I}, \exists x$.John $(x))$

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$
$\psi>$ (maybe) more people exist
$\exists e \exists x \exists y . \operatorname{John}(x) \wedge$ invite $(e, x, y) \wedge \operatorname{Bob}(y)$
©( $\mathfrak{I}, \exists x$.John $(x))$

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$
$\leadsto$ (maybe) more people exist who invited someone
$\exists e \exists x \exists y . \operatorname{John}(x) \wedge \operatorname{invite}(e, x, y) \wedge \operatorname{Bob}(y)$
©( $\mathfrak{I}, \exists x$.John $(x))$

### 2.1. Two challenges

## Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$
$\leadsto$ (maybe) more people exist who invited someone
$\exists e \exists x \exists y . \operatorname{John}(x) \wedge$ invite $(e, x, y) \wedge \operatorname{Bob}(y)$
© ( $\mathfrak{I}, \exists x . J o h n(x))$
This is clearly insufficient.

### 2.1. Two challenges

Pierrehumbert \& Hirschberg (1990), Hobbs (1990):

1. *: (meaning of) morpheme is important;
2. $+\mathrm{H} / \mathrm{H} \%$ : non-cooperativity.

## Two challenges:

- Isn't cooperativity a property of complete utterances only?
- Relative to what context is a constituent (non-)cooperative?

Hobbs: every morpheme expresses a complete proposition.
(6) John $\nearrow$ invited Bob $\downarrow$
$\leadsto$ (maybe) more people exist who invited someone
$\exists e \exists x \exists y . \operatorname{John}(x) \wedge$ invite $(e, x, y) \wedge \operatorname{Bob}(y)$
©( $\mathfrak{I}, \exists x$.John $(x))$
This is clearly insufficient.

## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.

That's what sentence-internal rise/fall is for!

## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.

That's what sentence-internal rise/fall is for!

- Sentence-final: (non-)cooperativity relative to what was already commonly known to be relevant: the QUD $\mathfrak{Q}$.


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.

That's what sentence-internal rise/fall is for!

- Sentence-final: (non-)cooperativity relative to what was already commonly known to be relevant: the QUD $\mathfrak{Q}$.
- Sentence-internal: relative to what the sentence itself has, thus far, revealed to be important


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.

That's what sentence-internal rise/fall is for!

- Sentence-final: (non-)cooperativity relative to what was already commonly known to be relevant: the QUD $\mathfrak{Q}$.
- Sentence-internal: relative to what the sentence itself has, thus far, revealed to be important: the local context.


## 2.2. 'Importance'

A meaning is 'important' iff another could have taken its place.

- (This is how 'focus alternatives' enter the picture.)
- Marking something as important may extend $\mathfrak{Q}$ to $\mathfrak{Q}^{\prime}$.
- The new $\mathfrak{Q}^{\prime}$ is a potential source of non-cooperativity.
- It seems reasonable that a speaker should indicate this.

That's what sentence-internal rise/fall is for!

- Sentence-final: (non-)cooperativity relative to what was already commonly known to be relevant: the QUD $\mathfrak{Q}$.
- Sentence-internal: relative to what the sentence itself has, thus far, revealed to be important: the local context.
- The local context is the compositionally computed theme.


### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)

### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)
Compositional 4D semantics:

1. Rheme (at-issue, asserted content).
2. Content active for composing non-at-issue content.
3. Satisfied non-at-issue content.

### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)
Compositional 4D semantics:

1. Rheme (at-issue, asserted content).
2. Theme (issue behind it).
3. Content active for composing non-at-issue content.
4. Satisfied non-at-issue content.

### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)
Compositional $\pm 4 \mathrm{D}$ semantics:

1. Rheme (at-issue, asserted content).
2. Theme (issue behind it).
3. Content active for composing non-at-issue content.
4. Satisfied non-at-issue content.

### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)
Compositional $\pm 4 \mathrm{D}$ semantics:

1. Rheme (at-issue, asserted content).
2. Theme (issue behind it).
3. Content active for composing non-at-issue content.
4. Satisfied non-at-issue content.

Now, in the third dimension:

- $\lambda:: \lambda B_{\langle\alpha, s t t\rangle} \lambda A_{\alpha} \cdot(\cdot)(\mathfrak{I}, B(A))$
$\nearrow:: \lambda B_{\langle\alpha, s t t\rangle} \lambda A_{\alpha} \cdot \dot{\approx}(\mathfrak{I}, B(A))$


### 2.3. The compositional intonational semantics

I extend the 3D system with a theme dimension (cf. Balogh, 2009)
Compositional $\pm 4 \mathrm{D}$ semantics:

1. Rheme (at-issue, asserted content).
2. Theme (issue behind it).
3. Content active for composing non-at-issue content.
4. Satisfied non-at-issue content.

Now, in the third dimension:

- $\lambda:: \lambda B_{\langle\alpha, s t t\rangle} \lambda A_{\alpha} \cdot(\cdot)(\mathfrak{I}, B(A))$
$\nearrow:: \lambda B_{\langle\alpha, s t t\rangle} \lambda A_{\alpha} \cdot:(\mathfrak{I}, B(A))$
Finally:
- When invoked in IP, $\mathfrak{I}$ looks in the global context: $\mathfrak{Q}$.
- When invoked in iP, $\mathfrak{I}$ looks in the local context: the theme.


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:



### 2.4. Derivation

$\left[\left[[J o h n]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:



## Satisfied non-at-issue content:

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$ $x$ have $b$
$\exists y . x$ have $y$


## Satisfied non-at-issue content:

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$ $x$ have $b$
$\exists y . x$ have $y$
©() $(\exists y . x$ have $y, x$ have $b)$


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\nearrow}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\searrow}$
$x$ have $b$
$\exists y \cdot x$ have $y$
$(3(\exists y \cdot x$ have $y, x$ have $b)$
$\left[t_{1} \text { have }[\text { the beans }]_{*}\right]_{\searrow}$

## Satisfied non-at-issue content:

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

$$
\begin{gathered}
x \text { have } b \\
\exists y \cdot x \text { have } y \\
(-(\exists y \cdot x \text { have } y, x \text { have } b) \\
{\left[t_{1} \text { have }[\text { the beans }]_{*}\right]_{\searrow}}
\end{gathered}
$$

### 2.4. Derivation

$\left[\left[[\text { John }]_{\star}\right]_{\star}\left[\text { had }[\text { the beans }]_{x}\right]_{\lambda}\right]_{\star}$

## Satisfied non-at-issue content:

$\lambda x \cdot x$ have $b$
$\lambda x \cdot \exists y \cdot x$ have $y$
$\lambda x \cdot(\exists y \cdot x$ have $y, x$ have $b)$
$\left[\text { have }[\text { the beans }]_{*}\right]_{\searrow}$

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\nearrow}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:


$\lambda x$.x have $b$
$\lambda x . \exists y . x$ have $y$
$\lambda x .(-)(\exists y \cdot x$ have $y, x$ have $b)$
[have [the beans] $]_{\star}{ }_{\star}$

### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\nearrow}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:



### 2.4. Derivation

## Satisfied non-at-issue content:

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

```
@(\existsy.j have y,j have b)
```



### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

```
@(\existsy.j have y,j have b)
```

$j$ have $b$
$\exists x \exists y . x$ have $y$
$j$ have $b$
$\odot(\exists x \exists y . x$ have $y, j$ have $b)$


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

) ©( $(\exists y . j$ have $y, j$ have b)
, $\odot(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

! ©( $(\exists y . j$ have $y, j$ have b) , $\odot(\exists x \exists y . x$ have $y, j$ have b)


### 2.4. Derivation

$\left[\left[[J \text { Jhn }]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{*}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

! ©( $\exists y . j$ have $y, j$ have b) , $\odot(\exists x \exists y . x$ have $y, j$ have $b)$


### 2.4. Derivation

$\left[\left[[J \text { Jhn }]_{\star}\right]_{त}\left[\text { had }[\text { the beans }]_{x}\right]_{\lambda}\right]_{\star}$

## Satisfied non-at-issue content:

' ©( $(\exists y . j$ have $y, j$ have $b$ ) , ©( $(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 2.4. Derivation

$\left[\left[\left[J_{\text {Jhn }}\right]_{*}\right]_{\star}\left[\text { had }[\text { the beans }]_{x}\right]_{\star}\right]_{\star}$

## Satisfied non-at-issue content:

' ©( $(\exists y . j$ have $y, j$ have $b$ ) , ©( $(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 2.4. Derivation

$\left[\left[[\text { John }]_{*}\right] \times\left[\right.\right.$ had $\left.\left.[\text { the beans }]_{*}\right] \times\right] \times$


## Outline

1. The final rise

Open-endedness $=$ non-cooperativity A compositional account
2. Generalizing to the internal rise Local contexts
The compositional account
3. Some predictions

### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \searrow$

- ;)( $\exists y . j$ have $y, j$ have $b$ )
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- $-(\mathfrak{Q}, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \searrow$

- :)( $\exists y . j$ have $y, j$ have $b$ )
- $)^{()(\exists x \exists y . x \text { have } y, j \text { have } b) ~}$
- :)( $\exists y . j$ have $y, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch? John $\nearrow$ had the beans $\searrow \downarrow$
$\leadsto$ Others are also relevant

- ;)( $\exists y . j$ have $y, j$ have $b)$
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- :)( $\exists y . j$ have $y, j$ have $b$ )


### 3.1. QUD vs. theme

(7) What did John have for lunch? John $\nearrow$ had the beans $\downarrow \downarrow$
$\leadsto$ Others are also relevant

- :)( $\exists y . j$ have $y, j$ have $b$ )
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who had what?
a. John $\nearrow$ had the beans $\searrow \searrow$
- $;(\exists y . j$ have $y, j$ have $b)$
- © ( $\exists x \exists y \cdot x$ have $y, j$ have $b)$
- $)(\mathfrak{Q}, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch? John $\nearrow$ had the beans $\downarrow \downarrow$
$\leadsto$ Others are also relevant

- :)( $\exists y . j$ have $y, j$ have $b$ )
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who had what?
a. John $\nearrow$ had the beans $\searrow \searrow$
- $;(\exists y . j$ have $y, j$ have $b)$
- $)^{()(\exists x \exists y . x}$ have $y, j$ have $\left.b\right)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch? John $\nearrow$ had the beans $\searrow \searrow$
$\leadsto$ Others are also relevant

- :)( $\exists y . j$ have $y, j$ have $b$ )
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who had what?
a. John $\nearrow$ had the beans $\searrow \searrow$
- $;(\exists y . j$ have $y, j$ have $b)$
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- \# ©( $(\exists x \exists y . x$ have $y, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \searrow$

- ;)( $\exists y . j$ have $y, j$ have $b$ )
- © ( $\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who had what?
a. John $\nearrow$ had the beans $\searrow \downarrow$
$\leadsto$ don't care about others
- ;)( $\exists y . j$ have $y, j$ have $b$ )
- $\dot{O}^{( }(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- \# ©( $(\exists x \exists y . x$ have $y, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \searrow$
$\leadsto$ Others are also relevant

- :()( $\exists y . j$ have $y, j$ have b)
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who (among John, Bill and Mary) had what?
a. John $\nearrow$ had the beans $\downarrow \downarrow \quad \sim$ don't care about others
- ;)( $\exists y . j$ have $y, j$ have $b$ )
- © ( $\exists x \exists y \cdot x$ have $y, j$ have $b)$
- \# ©( $(\exists x \exists y . x$ have $y, j$ have $b)$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\downarrow \downarrow$
$\leadsto$ Others are also relevant

- :()( $\exists y . j$ have $y, j$ have b)
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- :)( $\exists y . j$ have $y, j$ have $b$ )
(8) Who (among John, Bill and Mary) had what?
a. ? John $\nearrow$ had the beans $\downarrow \searrow \leadsto$ don't care about others
- :)( $\exists y . j$ have $y, j$ have b)
- $)^{()(\exists x \exists y \cdot x}$ have $y, j$ have $\left.b\right)$
- \# ©( $(\exists x \exists y \cdot x$ have $y, j$ have b)


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \searrow$
$\leadsto$ Others are also relevant

- :()( $\exists y . j$ have $y, j$ have b)
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who (among John, Bill and Mary) had what?
a. ? John $\nearrow$ had the beans $\downarrow \searrow \leadsto$ don't care about others
- :)( $\exists y . j$ have $y, j$ have b)
- $)^{()(\exists x \exists y \cdot x}$ have $y, j$ have $\left.b\right)$
- \# © $(\exists x \exists y \cdot x$ have $y, j$ have b)
b. John $\searrow$ had the beans $\nearrow \nearrow$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \downarrow$
$\leadsto$ Others are also relevant

- ;)( $\exists y . j$ have $y, j$ have b)
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who (among John, Bill and Mary) had what?
a. ? John $\nearrow$ had the beans $\downarrow \searrow \leadsto$ don't care about others
- :)( $\exists y . j$ have $y, j$ have b)
- © ( $\exists x \exists y \cdot x$ have $y, j$ have $b)$
- \# :) $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\downarrow$ had the beans $\nearrow \nearrow$


### 3.1. QUD vs. theme

(7) What did John have for lunch?

John $\nearrow$ had the beans $\searrow \downarrow$
$\leadsto$ Others are also relevant

- ;)( $\exists y . j$ have $y, j$ have b)
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- © $(\exists y . j$ have $y, j$ have $b)$
(8) Who (among John, Bill and Mary) had what?
a. ? John $\nearrow$ had the beans $\downarrow \searrow \leadsto$ don't care about others
- :)( $\exists y . j$ have $y, j$ have b)
- © ( $\exists x \exists y \cdot x$ have $y, j$ have $b)$
- \# © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
(inv. scope only)
c. John $\nearrow$ had the beans $\nearrow \nearrow$


### 3.1. QUD vs. theme

(7) What did John have for lunch? John $\nearrow$ had the beans $\downarrow \downarrow$
$\leadsto$ Others are also relevant

- ;)( $\exists y . j$ have $y, j$ have b)
- © $(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- :)( $\exists y . j$ have $y, j$ have $b)$
(8) Who (among John, Bill and Mary) had what?
a. ? John $\nearrow$ had the beans $\downarrow \downarrow \leadsto$ don't care about others
- :)( $\exists y . j$ have $y, j$ have b)
- $)^{()(\exists x \exists y . x}$ have $y, j$ have $\left.b\right)$
- \# © $(\exists x \exists y . x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
c. John $\nearrow$ had the beans $\nearrow \nearrow$

Hence, (a) is non-standard on lists:
(9) a. ? John $\nearrow$ had the beans $\downarrow \downarrow$. Sue $\nearrow$ had the pasta $\searrow \searrow \ldots$
b. John $\downarrow$ had the beans $\nearrow \nearrow$. Sue $\downarrow$ had the pasta $\nearrow \nearrow \ldots$

### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. John $\nearrow$ had the beans $\searrow \downarrow$
('the beans' > 'John')

- $\dot{O}^{( }(\exists x . x$ have $b, j$ have b)
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. John $\nearrow$ had the beans $\downarrow \downarrow$
('the beans' > 'John')

- \# © ( $\exists x \cdot x$ have $b, j$ have b)
- $\cdot(\exists x \exists y . x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \searrow$
('the beans' > 'John')

- \# © $(\exists x . x$ have $b, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- ()$(\exists x \exists y \cdot x$ have $y, j$ have $b)$


### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \downarrow$

- \# © $(\exists x \cdot x$ have $b, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\downarrow$ had the beans $\nearrow \nearrow$
('the beans' > 'John')


### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \downarrow$

- \# © $(\exists x \cdot x$ have $b, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
c. \# John $\downarrow$ had the beans $\nearrow \nearrow$
('the beans' > 'John')
('the beans' > 'John')
('John' > 'the beans')


### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \downarrow$
('the beans' > 'John')

- \# ©( $\exists$ ( $x . x$ have $b, j$ have b)
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
- ()$(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
c. \# John $\searrow$ had the beans $\nearrow \nearrow$
('the beans' > 'John')
('John' > 'the beans')

Indeed, 'CT must scope over Focus': (Büring 1997; Wagner 2012)

### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \searrow$
('the beans' > 'John')

- \# ©( $\exists$ ( $x . x$ have $b, j$ have b)
- $\cdot(\exists x \exists y . x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
c. \# John $\searrow$ had the beans $\nearrow \nearrow$
('the beans' > 'John')
('John' > 'the beans')

Indeed, 'CT must scope over Focus': (Büring 1997; Wagner 2012)
(11) German: \# John $\searrow$ hat die Bohnen gegessen $\nearrow \nearrow$

### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \searrow$ ('the beans' > 'John')

- \# ©( $\exists$ ( $x . x$ have $b, j$ have b)
- $\cdot(\exists x \exists y . x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\downarrow$ had the beans $\nearrow \nearrow$
c. \# John $\searrow$ had the beans $\nearrow \nearrow$

Indeed, 'CT must scope over Focus’: (Büring 1997; Wagner 2012)
(11) German: \# John $\searrow$ hat die Bohnen gegessen $\nearrow \nearrow$

Predictions for English:
(12) a. All buildings $\searrow$ were inspected by three guards $\nearrow \nearrow$

### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \searrow$ ('the beans' > 'John')

- \# ©( ${ }^{(\exists x . x \text { have } b, j \text { have } b) ~}$
- $\cdot(\exists x \exists y . x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\searrow$ had the beans $\nearrow \nearrow$
c. \# John $\searrow$ had the beans $\nearrow \nearrow$

Indeed, 'CT must scope over Focus': (Büring 1997; Wagner 2012)
(11) German: \# John $\searrow$ hat die Bohnen gegessen $\nearrow \nearrow$

Predictions for English:
(12) a. All buildings $\searrow$ were inspected by three guards $\nearrow \nearrow$ $\leadsto$ the same three guards.

### 3.2. Scope

Same as (8), but with inverse scope:
(10) Of John, Bill and Mary, who had what?
a. \# John $\nearrow$ had the beans $\searrow \searrow$
('the beans' > 'John')

- \# ©( $\exists$ ( $x . x$ have $b, j$ have b)
- $\cdot(\exists x \exists y . x$ have $y, j$ have $b)$
- $\cdot(\exists x \exists y \cdot x$ have $y, j$ have $b)$
b. John $\downarrow$ had the beans $\nearrow \nearrow$
c. \# John $\searrow$ had the beans $\nearrow \nearrow$
('the beans' > 'John')
('John' > 'the beans')

Indeed, 'CT must scope over Focus': (Büring 1997; Wagner 2012)
(11) German: \# John $\downarrow$ hat die Bohnen gegessen $\nearrow \nearrow$

Predictions for English:
(12) a. All buildings $\searrow$ were inspected by three guards $\nearrow \nearrow$
$\leadsto$ the same three guards.
b. All buildings $\nearrow$ were inspected by three guards $\downarrow \downarrow$
\& the same three guards.

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow$

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\searrow \downarrow \leadsto$ that resolves it

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\downarrow$ had an umbrella $\nearrow \nearrow$

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\downarrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\searrow \searrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow$

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\searrow \searrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\searrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. $\left[\left[[A l l]_{*} \text { my friends }\right]_{\searrow} \text { didn't come. }\right]_{\nearrow} \quad($ not' > 'all')

- () (? $\forall x . C x, \neg \forall x . C x)$
- $(:)(\mathfrak{Q}, \neg \forall x . C x)$


## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. $\left[\left[[A l l]_{*} \text { my friends }\right]_{\searrow} \text { didn't come. }\right]_{\nearrow} \quad($ not' > 'all')

- ():(? $\forall x . C x, \neg \forall x . C x)$
- : $(\exists x . C x, \neg \forall x . C x)$


## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. [[[All] $]_{\star}$ my friends] didn't come.] ('not' > 'all')

- () (? $\forall x . C x, \neg \forall x . C x)$
- © $(\exists x . C x, \neg \forall x . C x)$
b. $\left[\left[[\text { All }]_{*} \text { my friends }\right]_{\triangle} \text { didn't come. }\right]_{\nearrow} \quad$ ('all' > 'not')
- (): $(\exists x, \neg C x, \forall x, \neg C x)$
- $:(\mathfrak{Q}, \forall x, \neg C x)$


## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. [[[All] $]_{*}$ my friends $]_{\searrow}$ didn't come. $]_{\nearrow} \quad$ ('not' > 'all')

- () (? $\forall x . C x, \neg \forall x . C x)$
- © $(\exists x . C x, \neg \forall x . C x)$
b. $\left[\left[[\text { All }]_{*} \text { my friends }\right]_{\searrow} \text { didn't come. }\right]_{\nearrow} \quad$ ('all' > 'not')
- (): $(\exists x . \neg C x, \forall x, \neg C x)$
- ? $)(\mathfrak{Q}, \forall x . \neg C x)$


## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\downarrow \downarrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. [[[All] $]_{*}$ my friends] $]_{\searrow}$ didn't come. $]_{\nearrow} \quad$ ('not' > 'all')

- (:) $(? \forall x . C x, \neg \forall x . C x)$
- : $(\exists x \cdot C x, \neg \forall x . C x)$
b. ? $\left[\left[[A l l]_{*} \text { my friends }\right]_{\searrow} \text { didn't come. }\right]_{\nearrow}$
- () ( $\exists x, \neg C x, \forall x, \neg C x)$
- ? : $:(\mathfrak{Q}, \forall x . \neg C x)$


## 3.3. 'Fall-rise'

An indirect answer:
(13) Was it raining?
a. John $\nearrow$ had an umbrella $\searrow \searrow \leadsto$ that resolves it
b. John $\searrow$ had an umbrella $\nearrow \nearrow \leadsto$ and maybe more
c. John $\downarrow$ had an umbrella $\downarrow \nearrow \quad$ (preferred)

Under a plausible account of negation, we get:
(14) a. [[[All] $]_{*}$ my friends] $]_{\searrow}$ didn't come. $]_{\nearrow} \quad$ ('not' > 'all')

- () (? $\forall x . C x, \neg \forall x . C x)$
- : $(\exists x \cdot C x, \neg \forall x . C x)$
b. ? $\left[\left[[A l l]_{*} \text { my friends }\right]_{\searrow} \text { didn't come. }\right]_{\lambda} \quad$ ('all' > 'not')
- (): $\left(\exists x, \neg C_{x}, \forall x, \neg C x\right)$
- ? $\because(\mathfrak{Q}, \forall x . \neg C x)$

Hence, fall-rise can disambiguate.

## 3.4. 'D-trees'? ‘Strategies'?

(15) What did the stars wear?
a. \# The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \downarrow$

## 3.4. 'D-trees'? ‘Strategies'?

(15) What did the stars wear?
a. \# The female stars wore [caftans] ${ }_{*} \downarrow \downarrow$
b. The $[\text { female }]_{\star}$ stars $\nearrow$ wore [caftans] ${ }_{*} \downarrow \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

## 3.4. 'D-trees'? 'Strategies'?

(15) What did the stars wear?
a. \# The female stars wore [caftans] ${ }_{*} \downarrow \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore [caftans] ${ }_{*} \downarrow \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

In Büring's (2003) approach:

- (15) and (16) presuppose the same $D$-trees;


## 3.4. 'D-trees'? 'Strategies'?

(15) What did the stars wear?
a. \# The female stars wore $[\text { caftans }]_{*} \searrow \searrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore [caftans] ${ }_{*} \downarrow \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

In Büring's (2003) approach:

- (15) and (16) presuppose the same $D$-trees;
- Hence, Büring: 'newness of female in (15) must be marked'.


## 3.4. 'D-trees'? 'Strategies'?

(15) What did the stars wear?
a. \# The female stars wore $[\text { caftans }]_{*} \searrow \searrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore [caftans] $]_{\star} \downarrow \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

In Büring's (2003) approach:

- (15) and (16) presuppose the same $D$-trees;
- Hence, Büring: 'newness of female in (15) must be marked'.

Instead, I take this to suggest:

- Utterances presuppose only a direct QUD $\mathfrak{Q}$


## 3.4. 'D-trees'? 'Strategies'?

(15) What did the stars wear?
a. \# The female stars wore $[\text { caftans }]_{*} \searrow \searrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore [caftans] $]_{*} \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] $\downarrow \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

In Büring's (2003) approach:

- (15) and (16) presuppose the same $D$-trees;
- Hence, Büring: 'newness of female in (15) must be marked'.

Instead, I take this to suggest:

- Utterances presuppose only a direct QUD $\mathfrak{Q}$
- D-trees simply reflect local contexts (themes) at various levels


## 3.4. 'D-trees'? 'Strategies'?

(15) What did the stars wear?
a. \# The female stars wore [caftans] ${ }_{*} \searrow \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore [caftans] $]_{*} \downarrow$
(16) What did the stars wear? What did the female stars wear?
a. The female stars wore [caftans] ${ }_{*} \downarrow$
b. The $[\text { female }]_{*}$ stars $\nearrow$ wore $[\text { caftans }]_{*} \downarrow \searrow$

In Büring's (2003) approach:

- (15) and (16) presuppose the same $D$-trees;
- Hence, Büring: 'newness of female in (15) must be marked'.

Instead, I take this to suggest:

- Utterances presuppose only a direct QUD $\mathfrak{Q}$
- D-trees simply reflect local contexts (themes) at various levels
- (Pitch accents reveal only what the speaker finds important)


### 3.5. Quality readings

- Quality violations are theme/QUD independent;


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
$\psi$ not sure


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
$\ngtr$ not sure
$\leadsto$ not sure


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
$\ngtr$ not sure
$\leadsto$ not sure

However:

- Quality violations can convey surprise;


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\nearrow \nearrow$.
$\ngtr$ not sure
$\leadsto$ not sure

However:

- Quality violations can convey surprise;
- Surprise is theme/QUD-dependent!


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\pi \nearrow$.
$\ngtr$ not sure
$\leadsto$ not sure

However:

- Quality violations can convey surprise;
- Surprise is theme/QUD-dependent!
(18) So anyway, John ate the beans. John $\nearrow$ ate the beans $\nearrow \nearrow$.


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\downarrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\nearrow \nearrow$.
$\ngtr$ not sure
$\leadsto$ not sure

However:

- Quality violations can convey surprise;
- Surprise is theme/QUD-dependent!
(18) So anyway, John ate the beans. John $\nearrow$ ate the beans $\nearrow$, with his mother $\nearrow \nearrow$.


### 3.5. Quality readings

- Quality violations are theme/QUD independent;
- Hence, a Quality reading always requires a final rise:
(17) Who ate what?
a. John $\searrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure
b. John $\nearrow$ ate the beans $\downarrow \downarrow$.
c. John $\nearrow$ ate the beans $\nearrow \nearrow$.
$\leadsto$ not sure

However:

- Quality violations can convey surprise;
- Surprise is theme/QUD-dependent!
(18) So anyway, John ate the beans.

John $\nearrow$ ate the beans $\nearrow$, with his mother $\nearrow$, naked $\nearrow \nearrow$.

## Conclusion

We obtained a compositional intonational semantics, by:

## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.

We have seen:

- General-purpose machinery; yet very specific predictions.


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.

We have seen:

- General-purpose machinery; yet very specific predictions.
- $\mathrm{A} \pm 4 \mathrm{D}$ semantics that needs further study. (e.g., what is $\mathfrak{I}$ ?)


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.

We have seen:

- General-purpose machinery; yet very specific predictions.
- $\mathrm{A} \pm 4 \mathrm{D}$ semantics that needs further study. (e.g., what is $\mathfrak{I}$ ?)
- Some light shed on lists, CT scope, and $\mathfrak{Q}$ vs. theme.


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.

We have seen:

- General-purpose machinery; yet very specific predictions.
- $\mathrm{A} \pm 4 \mathrm{D}$ semantics that needs further study. (e.g., what is $\mathfrak{I}$ ?)
- Some light shed on lists, CT scope, and $\mathfrak{Q}$ vs. theme.
- A very minimal discourse context: $\mathfrak{Q}$.


## Conclusion

We obtained a compositional intonational semantics, by:

- formalizing 'open-endedness' as non-cooperativity,
- relative to global or local context.

We have seen:

- General-purpose machinery; yet very specific predictions.
- $\mathrm{A} \pm 4 \mathrm{D}$ semantics that needs further study. (e.g., what is $\mathfrak{I}$ ?)
- Some light shed on lists, CT scope, and $\mathfrak{Q}$ vs. theme.
- A very minimal discourse context: $\mathfrak{Q}$.
- No 'D-trees', 'strategies'.
(a mapping is work in progress)


## Thank you!

Papers (see staff.science.uva.nl/~westera/)

- Exhaustivity through the Maxim of Relation (LENLS proceedings)
- 'Attention, I'm violating a maxim!' (SemDial proceedings; talk on Wednesday)

Thanks to the Netherlands Organisation for Scientific Research (NWO) for financial support; to F. Roelofsen, J. Groenendijk for valuable comments.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came. $\sim$ Mary and Bill didn't. (exhaustivity)


## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came. $\sim$ Mary and Bill didn't. (exhaustivity)

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came. $\sim$ Mary and Bill didn't. (exhaustivity)

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

1. Had sp. believed Mary or Bill came, she should have said so.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came.
$\leadsto$ Mary and Bill didn't.
(exhaustivity)

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

1. Had sp. believed Mary or Bill came, she should have said so.
2. She didn't, so she lacks the belief that they came.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came. $\sim$ Mary and Bill didn't.

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

1. Had sp. believed Mary or Bill came, she should have said so.
2. She didn't, so she lacks the belief that they came.
3. She believes that they didn't come.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came.
$\leadsto$ Mary and Bill didn't.
(exhaustivity)

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

1. Had sp. believed Mary or Bill came, she should have said so.
2. She didn't, so she lacks the belief that they came.
... ('the epistemic step' - Sauerland, 2004)
3. She believes that they didn't come.

## Motivating the Maxim of Relation: exhaustivity

(19) Of John, Bill and Mary, who came to the party?

- John came. $\sim$ Mary and Bill didn't.

Conversational implicature (Grice, 1975)
An implicature, the supposition of which is necessary for maintaining the assumption that the speaker is cooperative.

1. Had sp. believed Mary or Bill came, she should have said so.
2. She didn't, so she lacks the belief that they came.
... ('the epistemic step' - Sauerland, 2004)
3. She believes that they didn't come.
"[the epistemic] step does not follow from
Gricean maxims and logic alone." - Chierchia, et al. (2008)

## Existing ‘Gricean' approaches

Most existing work (since Mill, 1867):

## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp . is competent as to whether Mary came
(Context)

## Existing ‘Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp. is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)

## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp. is competent as to whether Mary came
(Context)
2. She lacks the belief that Mary came
(Quantity)
3. She believes that Mary didn't come

## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp. is competent as to whether Mary came
2. She lacks the belief that Mary came
3. She believes that Mary didn't come

## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp . is competent as to whether Mary came
2. She lacks the belief that Mary came
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'


## Existing ‘Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp. is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'
(20) (Uttered when speaker is known not to be competent) Bonnie stole some of the pears. \&s not all


## Existing ‘Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp . is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'
(20) (Uttered when speaker is known not to be competent) Bonnie stole some of the pears. \& not all

Of course, this is not very surprising:

## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp . is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'
(20) (Uttered when speaker is known not to be competent) Bonnie stole some of the pears. $\psi \rightarrow$ not all

Of course, this is not very surprising:

- Speaker's competence is her ability to give an exh. answer.


## Existing 'Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp . is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'
(20) (Uttered when speaker is known not to be competent) Bonnie stole some of the pears. $\psi \rightarrow$ not all

Of course, this is not very surprising:

- Speaker's competence is her ability to give an exh. answer.
- Hence no exh. if the context negates competence.


## Existing ‘Gricean' approaches

Most existing work (since Mill, 1867):

1. The sp. is competent as to whether Mary came
2. She lacks the belief that Mary came
(Context)
(Quantity)
3. She believes that Mary didn't come

- Geurts, 2011: 'one of the main virtues of [this approach] is that it distinguishes between weak and strong implicatures, and connects them via the Competence Assumption.'
(20) (Uttered when speaker is known not to be competent) Bonnie stole some of the pears. fr not all

Of course, this is not very surprising:

- Speaker's competence is her ability to give an exh. answer.
- Hence no exh. if the context negates competence.

What about a context negating only the competence assumption?

## Against the competence assumption

A context that negates the competence assumption:

## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came.


## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came. $\leadsto$ Not Mary.


## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came. $\leadsto$ Not Mary.
- Exhaustivity must be conveyed purely by the speaker.


## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came. $\leadsto$ Not Mary.
- Exhaustivity must be conveyed purely by the speaker.

Maxim of Relation
(cf. Westera, 2013)
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state.

## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came. $\leadsto$ Not Mary.
- Exhaustivity must be conveyed purely by the speaker.

Maxim of Relation
(cf. Westera, 2013)
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state. (e.g., if possible, say 'John and maybe Mary' rather than 'John')

## Against the competence assumption

A context that negates the competence assumption:
(21) Prob. asking the wrong person, but - of J, B, M - who came?

- John and Bill came. $\leadsto$ Not Mary.
- Exhaustivity must be conveyed purely by the speaker.

Maxim of Relation
(cf. Westera, 2013)
Draw attention to all $\mathfrak{q} \in \mathfrak{Q}$ compatible with your info state. (e.g., if possible, say 'John and maybe Mary' rather than 'John')
(speaker says 'John' because she doesn't consider 'Mary' possible.)

## References (i)

- Balogh, K. (2009). Theme with variations: a context-based analysis of focus.
- Bolinger, D. (1982). Intonation and its parts.
- Büring, D. (2003). On D-Trees, Beans and B-Accents.
- Chierchia, G., Fox, D., \& Spector, B. (2008). The grammatical view of scalar impl. and the relationship between sem. and pragmatics.
- Constant, N. (2012). English Rise-Fall-Rise: A study in the Semantics and Pragmatics of Intonation.
- Geurts (2010). Quantity implicatures.
- Grice, H. (1975). Logic and conversation.
- Gunlogson, C. (2008). A question of commitment.
- Gussenhoven (2004).
- Mill, J.S. (1867). An Examination of Sir William Hamilton's Philosophy.
- Pierrehumbert, J.K., \& Hirschberg, J. (1990). The meaning of intonational contours in the interpretation of discourse.
- Roberts, C. (1996). Information structure in discourse.


## References (ii)

- Sauerland, U. (2004). Scalar implicatures in complex sentences.
- Truckenbrodt, H. (2006). On the semantic motivation of syntactic verb movement to C in German.
- Van Rooij, R. \& K. Schulz (2005). Pragmatic Meaning and Non-monotonic Reasoning: The Case of Exhaustive Interpretation.
- Wagner, M. (2012). Contrastive topics decomposed.
- Ward, G., \& Hirschberg, J. (1985). Implicating uncertainty: the pragmatics of fall-rise intonation.
- Ward, G., \& Hirschberg, J. (1992). The influence of pitch range, duration, amplitude and spectral features on the interpretation of the rise-fall-rise intonation contour in english.
- Westera, M. (2013a). 'Attention, I'm violating a maxim!' - a unifying account of the final rise.
- Westera, M. (2013b). Exhaustivity through the Maxim of relation.

